

Receipt

TRANSMITTAL LETTER			Case No. 10466/127
Serial No. 09/943,664	Filing Date August 30, 2001	Examiner To be assigned	Group Art Unit 1623
Inventor(s) Ashkenazi et al.			
Title of Invention SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME			

RECEIVED

JUL 08 2002

TECH CENTER 1600/2900

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Request for Correction of Filing Receipt; copy of Official Filing Receipt and return postcard.

Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a _____ month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		Other Than Small Entity	
or			
Rate	Add'l Fee	Rate	Add'l Fee
x \$9=		x \$18=	
x 42=		x \$84=	
+\$140=		+\$280=	
Total add'l fee	\$	Total add'l fee	\$

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$____ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Gregory M. Zinkl, Ph.D.
Registration No. 48,492
Agent for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 6/16/02

Date: 6/16/02 Signature: J.M.Z.

rev. Dec.-00 Document4

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents

Washington, D.C. 20231

on

6/19/02

Date of Deposit

RECEIVED

JUL 08 2002

TECH CENTER 1600/2900

Gregory M. Zinkl, Ph.D.

Name of applicant, assignee or
Registered Representative

G. M. Zinkl

Date of Signature



Our Case No. 10466/127

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ashkenazi et al.

Serial No. 09/943,664

Filing Date: August 30, 2001

For SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND NUCLEIC
ACIDS ENCODING THE SAME

Examiner To be assigned

Group Art Unit No. 1623

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Attention: Application Processing Division
Customer Correction Branch

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows:

- Under Applicant(s), please change --Bulingame-- to "Burlingame".

2. Under **Foreign Applications**, please change the publication date for PCT application PCT/US99/12252 from --6/22/1999-- to "6/02/99".

The correction is not due to any error by applicant and no fee is believed to be due. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 23-1925.

Respectfully submitted,



Gregory M. Zink, Ph.D.
Registration No. 48,492
Agent for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

RECEIVED

Page 1 of 3

JUL 08 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900 EMB/dhw
COMMISSIONER FOR PATENTSUNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/943,664 ✓	08/30/2001 ✓	1646 ✓	710	P2548P1C8	34	13	2

CONFIRMATION NO. 2448 ✓

CORRECTED FILING RECEIPT



OC000000007702141

09157
GENENTECH, INC.1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080

RECEIVED

APR 01 2002

GENENTECH, INC.
LEGAL DEPT.

Date Mailed: 03/22/2002



RECEIVED is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kevin P. Baker, Darnestown, MD;
 David Botstein, Belmont, CA;
 Dan L. Eaton, San Rafael, CA;
 Napoleone Ferrara, San Francisco, CA;
 Ellen Filvaroff, San Francisco, CA;
 Mary E. Gerritsen, San Mateo, CA;
 Audrey Goddard, San Francisco, CA; *Burlingame*
 Paul J. Godowski, Burlingame, CA;
 J. Christopher Grimaldi, San Francisco, CA;
 Austin L. Gurney, Belmont, CA;
 Kenneth J. Hillan, San Francisco, CA;
 Ivar J. Klijavin, Pacifica, CA;
 Mary A. Napier, Hillsborough, CA;
 Margaret Ann Roy, San Francisco, CA;
 Daniel Tumas, Orinda, CA;
 William I. Wood, Hillsborough, CA;

Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/866,028 05/25/2001
 AND CLAIMS BENEFIT OF 60/067,411 12/03/1997
 AND CLAIMS BENEFIT OF 60/069,334 12/11/1997
 AND CLAIMS BENEFIT OF 60/069,335 12/11/1997
 AND CLAIMS BENEFIT OF 60/069,278 12/11/1997
 AND CLAIMS BENEFIT OF 60/069,425 12/12/1997
 AND CLAIMS BENEFIT OF 60/069,696 12/16/1997

AND CLAIMS BENEFIT OF 60/069,694 12/16/1997
AND CLAIMS BENEFIT OF 60/069,702 12/16/1997
AND CLAIMS BENEFIT OF 60/069,870 12/17/1997
AND CLAIMS BENEFIT OF 60/069,873 12/17/1997
AND CLAIMS BENEFIT OF 60/068,017 12/18/1997
AND CLAIMS BENEFIT OF 60/070,440 01/05/1998
AND CLAIMS BENEFIT OF 60/074,086 02/09/1998
AND CLAIMS BENEFIT OF 60/074,092 02/09/1998
AND CLAIMS BENEFIT OF 60/075,945 02/25/1998
AND CLAIMS BENEFIT OF 60/112,850 12/16/1998
AND A CON OF 09/216,021 12/16/1998 ABN
WHICH IS A CON OF 09/218,517 12/22/1998 ABN
WHICH IS A CON OF 09/254,311 03/03/1999
WHICH IS A 371 OF PCT/US98/25108 12/01/1998
WHICH CLAIMS BENEFIT OF 60/067,411 12/03/1997
WHICH CLAIMS BENEFIT OF 60/069,278 12/11/1997
WHICH CLAIMS BENEFIT OF 60/069,334 12/11/1997
WHICH CLAIMS BENEFIT OF 60/069,335 12/11/1997
WHICH CLAIMS BENEFIT OF 60/069,425 12/12/1997
WHICH CLAIMS BENEFIT OF 60/069,694 12/16/1997
WHICH CLAIMS BENEFIT OF 60/069,696 12/16/1997
WHICH CLAIMS BENEFIT OF 60/069,702 12/16/1997
WHICH CLAIMS BENEFIT OF 60/069,870 12/17/1997
WHICH CLAIMS BENEFIT OF 60/069,873 12/17/1997
WHICH CLAIMS BENEFIT OF 60/068,017 12/18/1997
WHICH CLAIMS BENEFIT OF 60/070,440 01/05/1998
WHICH CLAIMS BENEFIT OF 60/074,086 02/09/1998
WHICH CLAIMS BENEFIT OF 60/074,092 02/09/1998
WHICH CLAIMS BENEFIT OF 60/075,945 02/25/1998
AND SAID 09/218,517 12/22/1998
CLAIMS BENEFIT OF 60/088,742 06/10/1998
AND CLAIMS BENEFIT OF 60/086,414 05/22/1998
AND CLAIMS BENEFIT OF 60/070,440 01/05/1998
AND CLAIMS BENEFIT OF 60/109,304 11/20/1998
AND CLAIMS BENEFIT OF 60/083,500 04/29/1998
AND CLAIMS BENEFIT OF 60/107,783 11/10/1998
THIS APPLICATION 09/943,664
CLAIMS BENEFIT OF 60/113,296 12/22/1998
AND CLAIMS BENEFIT OF 60/146,222 07/28/1999,

Foreign Applications

PCT/US98/19330 09/16/1998
PCT/US98/25108 12/01/1998
PCT/US99/12252 06/22/1999 - 06/02/1999
PCT/US99/21090 09/15/1999
PCT/US99/28409 11/30/1999
PCT/US99/28313 11/30/1999
PCT/US99/28301 12/01/1999
PCT/US99/30095 12/16/1999
PCT/US00/03565 02/11/2000
PCT/US00/04414 02/22/2000
PCT/US00/05841 03/02/2000
PCT/US00/08439 03/30/2000
PCT/US00/14042 05/22/2000
PCT/US00/20710 07/28/2000
PCT/US00/32678 12/01/2000
PCT/US01/06520 02/28/2001

Projected Publication Date: 02/21/2002

Non-Publication Request: No

Early Publication Request: No

Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).